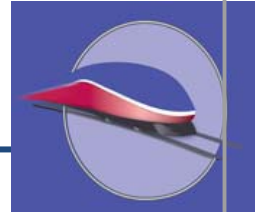


Preapplication for HSIPR Program

OMB No. 2130-0583



Preapplication instructions:

- For each question, enter the appropriate information in the designated gray box; keep text answers brief.
- For a multi-State project/program, States are encouraged to identify a lead applicant.
- Please submit one preapplication for each individual project, planning study, or corridor service program.
- Answers provided in this form **will not be used for evaluation or selection purposes.**
- Applicants should complete and submit this form electronically to: HSIPR@dot.gov.

A. Who are you?

(1) Select applicant type, as defined in Appendix 1.1 of the HSIPR Guidance (*Check the appropriate box from the list*):

State

Amtrak

If one of the following, please append appropriate documentation as described in Section 4.3.1 of the HSIPR Guidance:

Group of States

Interstate Compacts

Public Agency established by one or more States

Amtrak in cooperation with a State or States

(2) Name of lead State or organization applying: Illinois Department of Transportation

(3) Name(s) of additional States and/or organizations applying in this group (*if applicable*): Wisconsin and the other states of the MWRRS

(4) Application point of contact (POC):

George E. Weber

POC title:

Bureau Chief - Railroads

Street address:

100 West Randolph, JRTC

Suite 6-600

City:

Chicago

State:

IL

Zip code:

60601

Telephone number:

312-793-4222

Fax: 312-793-1251

Email: George.Weber@illinois.gov

What is your project?

(5) Project/program name: Chicago to Rondout - FD/Construction for 10 Trains SUBMITTED AS PART OF THE CHICAGO TERMINAL LIMITS PRE-APPLICATION

(6) Describe the project or program; if a program has multiple phases please describe each and how they fit together; if the project or program relates to another HSIPR application describe the linkage (*less than 1000 characters*):
 IDOT is requesting ARRA funds, in conjunction with the states of the MWRRS, to construct improvements in Illinois to support the increased train frequencies between Chicago and Milwaukee and to extend service to Madison. Specific improvements from CUS to the state line include:(1) install universal #20 Crossovers at Lake Forest; (2) upgrade Deerfield crossover to #20; and (3) install universal #20 crossovers at Dewes St. These investments, along with the investments in Wisconsin, will result in 10 round trips between Chicago and Milwaukee.

(7) Location information

(A) Describe the location of the proposed project/program (attach map if available) (*less than 250 characters*): The proposed location is identified in 6 above

(B) Which high-speed rail and/or intercity passenger rail route(s) benefit from this project? Identify endpoints, major locations served, and name of current route (if applicable) (*less than 250 characters*): Chicago to Madison to St Paul

(C) State(s) in which the project/program investment is/are located: Illinois

(D) State(s) in which the benefiting service(s) is/are located: Illinois, Wisconsin, and Minnesota

(8) Project/program type:

(A) Types of capital investments contemplated (*Check all that apply*):

- | | |
|--|--|
| <input type="checkbox"/> Structures (bridges, tunnels, etc.) | <input type="checkbox"/> Rolling Stock Refurbishments |
| <input checked="" type="checkbox"/> Track-Rehabilitation | <input type="checkbox"/> Rolling Stock Acquisitions |
| <input type="checkbox"/> Track-New Construction | <input type="checkbox"/> Stations, Terminals |
| <input type="checkbox"/> New Rights-of-Way | <input type="checkbox"/> Support Facilities (Yards, Shops, etc.) |
| <input checked="" type="checkbox"/> Major Interlockings | <input type="checkbox"/> Grade Crossing Improvements |
| <input checked="" type="checkbox"/> Communications, Signaling, Control | <input type="checkbox"/> Electric Traction |
| <input type="checkbox"/> Other (<i>Please describe</i>): | |

(B) Describe the types of proposed improvements (e.g., new passing tracks, interlocking reconfigurations, station improvements, equipment acquisitions, etc.) (*less than 500 characters*): Identified in 6 above

(C) Service attributes (*Check all that apply*):

- | | |
|--|--|
| <input checked="" type="checkbox"/> Additional Frequencies on Existing Route | <input checked="" type="checkbox"/> Improved On-Time-Performance on Existing Route |
| <input type="checkbox"/> New Service | <input type="checkbox"/> Increased Average Speeds/Shorter Trip Times |
| <input type="checkbox"/> Other (<i>Please describe</i>): | |

(9) Project/program milestones (mm/yyyy):

Construction start date:
12/2009Construction completion date:
12/2011

Service improvements realized: 9/2010

(10) Anticipated benefits (on intercity passenger rail service(s) benefitting from project/program)

Project/Program Benefits	Before (FY 2008 levels)	After (Project completion)	Not Applicable	Not Sure
Annual passenger-miles	59,773,000 (Hiawatha Service, CHI-MKE)	134,000,000 (2013, CHI--MKE-MSN)	<input type="checkbox"/>	<input type="checkbox"/>
Average daily round trips (weekday)	7 (Hiawatha Service, CHI-MKE) 1 (Empire Builder, CHI-MKE-MSP)	10 (CHI-MKE) 6 (MKE-MSN) 1 (Empire Builder, CHI-MKE-MSP)	<input type="checkbox"/>	<input type="checkbox"/>
On-time performance (OTP) (at endpoint terminals)	87 % (Hiawatha Service) 69 % (Empire Builder)	Goal of 96 % (CHI-MKE-MSN service) Unknown (Empire Builder)	<input type="checkbox"/>	<input type="checkbox"/>
Top speed (mph)	79 (Hiawatha Service, CHI-MKE) 79 (Empire Builder)	9 (CHI-MKE) 110 (MKE-MSN) Unknown (Empire Builder)	<input type="checkbox"/>	<input type="checkbox"/>
Average operating speed (mph) (between endpoint terminals)	58 (Hiawatha Service) 51 (Empire Builder)	63 (CHI-MKE express) 73 (MKE-MSN express) 66 (CHI-MKE-MSN express) Unknown (Empire Builder)	<input type="checkbox"/>	<input type="checkbox"/>

What will your project/program cost & how will it be funded?

Capital Costs

(11) Total anticipated project/program capital cost (in millions of dollars)(Note: preapplication estimates will not be binding):
\$8,000,000 from HNTB July 21, 2008 Report

(12) Will your project/program proposal include matching funds?

(A) Yes If yes, as what percentage of total costs?

No

(B) Proposed source(s) of capital matching funds (*Please check all that apply*):

State Local Private Other (*Please specify*): N/A Not sure

(13) If an in-kind match is expected, provide a brief description of the asset (*less than 100 characters*).

Operating Costs

(14) Is the project/program expected to result in an additional annual cost of operations for the benefitting service?

Yes No Not sure

(A) If additional operating funding is required, what would be the source? (*Select the appropriate option by clicking the gray box to activate the dropdown menu*):

Not Sure If other is selected, please specify:

(B) What is the status of providing that funding? (*Select the appropriate option by clicking the gray box to activate the dropdown menu*):

Not Sure If other is selected, please specify:

D. What preparation have you done?

(15) Please indicate the status of planning, engineering and environmental studies/documentation supporting your program or project. (Although applicants are asked to respond to all items, note that not all are required for all tracks.)

	No study exists	If no study exists, are you applying for HSIPR funds to complete study?	Study underway	Study completed? (year)	Not applicable
Corridor Service Planning Studies/Documents (for the intercity passenger rail service benefitting from project or program)					
Purpose & Need/Rationale	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Service/Operating Plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> MWRRI 2004	<input type="checkbox"/>
Prioritized Capital Plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> MWRRI 2004	<input type="checkbox"/>
Ridership/Revenue Forecast	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> TEMS 2008	<input type="checkbox"/>
Operating Cost Forecast	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Tier 1 Programmatic (or "service") NEPA (Identify document from dropdown menu)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Environmental Impact Statement (EIS)					
Assessment of Benefits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> TEMS 2006	<input type="checkbox"/>
Project Planning Studies/Documents (if application is for program (multiple projects), multiple boxes may be checked)					
Preliminary Engineering (PE)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tier 2 (project-level) NEPA (Identify document from dropdown menu)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Environmental Impact Statement (EIS)					
Detailed Capital Cost Estimates	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> HNTB 2008	<input type="checkbox"/>
Assessment of Benefits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
General Planning Studies/Documents					
Project Management Plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Financial Plan (capital & operating – sources/uses)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(16) Is the project(s) included in the Statewide Transportation Improvement Program (STIP)? Yes No Not sure

(17) Is the project/program included in a Performance Improvement Plan for the host railroad? Yes No Not sure

(A) Who are your partners & what are their commitments?

- (18) **Right-of-Way Owner(s).** Provide the status of agreements with railroad(s) that own the right-of-way. (If appropriate, “owner(s)” may also include operator(s) under trackage rights or lease agreements.) (If more than two railroads, please include additional information in question 24.)

Railroad owner 1 (Name):	Canadian Pacific Railway
Status of railroad owner 1 (Click on the appropriate option from the dropdown menu shaded in gray):	No agreement, but host railroad supports project
Railroad owner 2 (Name):	
Status of railroad owner 2 (Click on the appropriate option from the dropdown menu shaded in gray):	Master Agreement in place

- (19) **Intercity Passenger Rail Operator.** If applicable, provide the status of agreements with partner that will operate the benefiting high-speed rail/intercity passenger rail services (e.g., Amtrak). (Click on the appropriate option from the dropdown menu shaded in gray):

No agreement, but partner supports project

- (20) **Benefits to Types of Rail Service.** What share of the project/program benefits will accrue to other non-intercity passenger railroad service types (e.g., commuter or freight)? (Click on the appropriate option from the dropdown menu shaded in gray): Some - less than 25%

If benefits to non-intercity passenger rail services are foreseen, are cost-sharing agreements in place with the beneficiary organization(s)? Yes No N/A Not sure

(B) Which track is the best fit for your project/program?

- (21) Anticipated HSIPR funding track (Click on the appropriate option from the dropdown menu shaded in gray):

Track 1 (Projects) FD/Construction

If unsure, please explain:

- (22) Anticipated application filing date (Check the appropriate box):

2009 – first round

Subsequent round(s). (Note: Funding for subsequent rounds is not guaranteed.)

(C) What help do you need?

- (23) Describe any areas in which you could use technical assistance, best practices, advice or support from others (*less than 500 characters*): This construction project will need concurrence and support from METRA and AMTRAK. IDOT will need the cooperation of the Wisconsin Department of Transportation in phasing the construction of this work with their efforts north of the state line. As with all projects within the Chicago Terminal Limits, IDOT seeks FRA's assistance and advice as we move the MWRRS to a modern high speed and intercity passenger rail system.

(D) Additional information (optional)

- (24) Please provide any additional information, comments, or clarifications. This section is optional. This project is part of the MWRRRI Phase 1 Corridor and it will lead to the extension of service to the Twin Cities and to the build-out of the 3000 mile Midwest Regional Rail Initiative. The application is being submitted in partnership with the other states of the MWRRS.

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